

CS PROFESSIONAL (NEW SYLLABUS)

UNIT TEST-1

| Subject | Date | Syllabus |
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| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 15/10/2021 | <ul style="list-style-type: none">• Conceptual Framework of Corporate Governance• Legislative Framework of Corporate Governance in India• Board Effectiveness- Issues and Challenge• Board Processes through Secretarial Standards• Corporate Policies and Disclosures |
| ADVANCED TAX LAWS | 16/10/2021 | <ul style="list-style-type: none">• An Overview on Goods and Services Tax 'GST'• Supply• Input Tax Credit & Computation of GST Liability |
| DRAFTING, PLEADINGS AND APPEARANCES | 17/10/2021 | <ul style="list-style-type: none">• Judicial & Administrative framework• General Principles of Drafting and relevant Substantive Rules• Secretarial Practice in Drafting Notice, Agenda and Minutes of Company's Meetings |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 17/10/2021 | <ul style="list-style-type: none">• Compliance Framework• Compliances• Entity wise• Activity wise• Sector wise• Industry Specific• State and Local applicable laws• Documentation & maintenance of records |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 18/10/2021 | <ul style="list-style-type: none">• Types of Corporate Restructuring• Acquisition of |

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| | | <p>Company/Business</p> <ul style="list-style-type: none"> • Planning & Strategy • Process of M & A transactions • Documentation–Merger& Amalgamation |
| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 18/10/2021 | <ul style="list-style-type: none"> • Shareholders' Democracy& Rights • Corporate Disputes |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 19/10/2021 | <ul style="list-style-type: none"> • Indian Equity- Public Funding • Indian Equity- Private funding • Indian equity- Non Fund based • Debt Funding – Indian Fund Based |

UNIT TEST-2

| Subject | Date | Syllabus |
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| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 20/10/2021 | <ul style="list-style-type: none"> • Accounting and Audit related issues, RPTs and Vigil Mechanism • Board Committees • Corporate Governance and Shareholders Rights • Corporate Governance and Other Stakeholders • Governance and Compliance Risk • Corporate Governance Forums |
| ADVANCED TAX LAWS | 21/10/2021 | <ul style="list-style-type: none"> • Procedural Compliance under GST • Demand and Recovery, Advance Ruling, Appeals and Revision • Inspection, search, seizure, offences & Penalties Compliance rating, anti-profiteering, GST practitioners, authorized representative, professional opportunities • Integrated Goods and Service Tax (IGST) • Union Territory Goods and Service tax (UTGST) • GST Compensation to States |

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| | | <ul style="list-style-type: none"> • Industry/ Sector Specific Analysis |
| DRAFTING, PLEADINGS AND APPEARANCES | 21/10/2021 | <ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents(I) • Drafting and Conveyancing Relating to Various Deeds and Documents (II) Pleadings |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 22/10/2021 | <ul style="list-style-type: none"> • Search and Status Report • KYC • Signing and Certification • Segment-wise Role of Company Secretaries • Audits |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 23/10/2021 | <ul style="list-style-type: none"> • Valuation of Business and Assets for Corporate Restructuring • Accounting in Corporate Restructuring— Concept and Accounting Treatment • Taxation & Stamp Duty aspects of Corporate Restructuring • Competition Act • Regulatory approvals of scheme • Appearance before NCLT/ NCLAT • Fast Track Mergers :Small companies, Holding and wholly owned companies • Cross Border Mergers. |
| RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES | 24/10/2021 | <ul style="list-style-type: none"> • Fraud under Companies Act and IPC • Misrepresentation & Malpractices • Regulatory Action |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 25/10/2021 | <ul style="list-style-type: none"> • Debt Funding – Indian Nonfund Based • Foreign Funding - Instruments & Institutions • Other Borrowings Tools Non- |

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| | | <ul style="list-style-type: none"> Convertible Instruments- Non-Convertible Redeemable Preference Shares (NCRPs) etc. |
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UNIT TEST-3

| Subject | Date | Syllabus |
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| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 26/10/2021 | <ul style="list-style-type: none"> Risk Management Internal Control |
| ADVANCED TAX LAWS | 27/10/2021 | <ul style="list-style-type: none"> Custom Law |
| DRAFTING, PLEADINGS AND APPEARANCES | 29/10/2021 | <ul style="list-style-type: none"> Drafting and Conveyancing Relating to Various Deeds and Documents(III) Drafting and Conveyancing Relating to Various Deeds and Documents(IV) Drafting of Agreements under the Companies Act: |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 01/11/2021 | <ul style="list-style-type: none"> Secretarial Audit Internal Audit & Performance Audit Concepts and Principles of Other Audits Audit Engagement Audit Principles and Techniques Audit Process and Documentation |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 06/11/2021 | <ul style="list-style-type: none"> Insolvency Petition for Corporate Insolvency Resolution Process Role, Functions and Duties of IP/IRP/RP Resolution Strategies Convening and Conduct of Meetings of Committee of Creditors Preparation & Approval of Resolution Plan |

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| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 15/11/2021 | <ul style="list-style-type: none"> • Defaults, Adjudication, Prosecutions and penalties under the Companies Act, Securities Laws, FEMA, COFEPOSA, Money Laundering, Competition Act, Labour Laws & Tax Laws • Fines, Penalties and Punishments under various laws |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 21/11/2021 | <ul style="list-style-type: none"> • Securitization • Listing–Indian Stock Exchanges International Listing |

UNIT TEST-4

| Subject | Date | Syllabus |
|---|------------|--|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 29/11/2021 | <ul style="list-style-type: none"> • Reporting • Ethics and Business • Sustainability and Corporate Social Responsibility • Anti-Corruption and Anti-Bribery Laws in India |
| ADVANCED TAX LAWS | 03/12/2021 | <ul style="list-style-type: none"> • Direct and International Tax |
| DRAFTING, PLEADINGS AND APPEARANCES | 04/12/2021 | <ul style="list-style-type: none"> • Pleadings • Art of writing opinions • Appearances' & art of advocacy |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 05/12/2021 | <ul style="list-style-type: none"> • Forming an Opinion & Reporting • Secretarial Audit –Fraud detection & Reporting • Quality Review • Values Ethics and Professional Conduct • Due Diligence • Due Diligence Impact Assessment of Non Compliances and Reporting thereof. |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 06/12/2021 | <ul style="list-style-type: none"> • Individual/ Firm In solvency • Fresh Start Process • Debt Recovery & SARFAESI • Cross Border In solvency • Liquidation on or after failing of RP • Voluntary Liquidation • Winding-up by Tribunal under the Companies Act, 2013 |

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| RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES | 07/12/2021 | <ul style="list-style-type: none"> • Civil and Criminal Trial Procedure and Process • Relief and Remedies • Crisis management, Professional Liability, D&OPolicy & other Risk and liability mitigation approaches |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 08/12/2021 | <ul style="list-style-type: none"> • Various Procedural requirementsfor issue of securities and Listing • Preparing a Company for an IPO and Governance requirements thereafter, Appraising the Boardand other functions in the organizations regarding the Post IPO/Listing Governance changes • Documentation &Compliances. |

FINAL TEST

| Subject | Date | Syllabus |
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| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 09/12/2021 | • FULLSYLLABUS |
| ADVANCED TAX LAWS | 10/12/2021 | • FULLSYLLABUS |
| DRAFTING, PLEADINGS AND APPEARANCES | 12/12/2021 | • FULLSYLLABUS |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 15/12/2021 | • FULLSYLLABUS |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 17/12/2021 | • FULLSYLLABUS |
| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 19/12/2021 | • FULLSYLLABUS |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 20/12/2021 | • FULLSYLLABUS |