

CS PROFESSIONAL (NEW SYLLABUS)

UNIT TEST-1

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	30/09/2021	<ul style="list-style-type: none">• Conceptual Framework of Corporate Governance• Legislative Framework of Corporate Governance in India• Board Effectiveness- Issues and Challenge• Board Processes through Secretarial Standards• Corporate Policies and Disclosures
ADVANCED TAX LAWS	30/09/2021	<ul style="list-style-type: none">• An Overview on Goods and Services Tax 'GST'• Supply• Input Tax Credit & Computation of GST Liability
DRAFTING, PLEADINGS AND APPEARANCES	01/10/2021	<ul style="list-style-type: none">• Judicial & Administrative framework• General Principles of Drafting and relevant Substantive Rules• Secretarial Practice in Drafting Notice, Agenda and Minutes of Company's Meetings
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	01/10/2021	<ul style="list-style-type: none">• Compliance Framework• Compliances<ul style="list-style-type: none">• Entity wise• Activity wise• Sector wise• Industry Specific• State and Local applicable laws• Documentation & maintenance of records
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	02/10/2021	<ul style="list-style-type: none">• Types of Corporate Restructuring• Acquisition of

		<p>Company/Business</p> <ul style="list-style-type: none"> • Planning & Strategy • Process of M & A transactions • Documentation–Merger& Amalgamation
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	02/10/2021	<ul style="list-style-type: none"> • Shareholders' Democracy& Rights • Corporate Disputes
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	03/10/2021	<ul style="list-style-type: none"> • Indian Equity- Public Funding • Indian Equity- Private funding • Indian equity- Non Fund based • Debt Funding – Indian Fund Based

UNIT TEST-2

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	03/10/2021	<ul style="list-style-type: none"> • Accounting and Audit related issues, RPTs and Vigil Mechanism • Board Committees • Corporate Governance and Shareholders Rights • Corporate Governance and Other Stakeholders • Governance and Compliance Risk • Corporate Governance Forums
ADVANCED TAX LAWS	04/10/2021	<ul style="list-style-type: none"> • Procedural Compliance under GST • Demand and Recovery, Advance Ruling, Appeals and Revision • Inspection, search, seizure, offences & Penalties Compliance rating, anti-profiteering, GST practitioners, authorized representative, professional opportunities • Integrated Goods and Service Tax (IGST) • Union Territory Goods and Service tax (UTGST) • GST Compensation to States

		<ul style="list-style-type: none"> • Industry/ Sector Specific Analysis
DRAFTING, PLEADINGS AND APPEARANCES	04/10/2021	<ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents(I) • Drafting and Conveyancing Relating to Various Deeds and Documents (II) Pleadings
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	05/10/2021	<ul style="list-style-type: none"> • Search and Status Report • KYC • Signing and Certification • Segment-wise Role of Company Secretaries • Audits
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	06/10/2021	<ul style="list-style-type: none"> • Valuation of Business and Assets for Corporate Restructuring • Accounting in Corporate Restructuring— Concept and Accounting Treatment • Taxation & Stamp Duty aspects of Corporate Restructuring • Competition Act • Regulatory approvals of scheme • Appearance before NCLT/ NCLAT • Fast Track Mergers :Small companies, Holding and wholly owned companies • Cross Border Mergers.
RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	12/10/2021	<ul style="list-style-type: none"> • Fraud under Companies Act and IPC • Misrepresentation & Malpractices • Regulatory Action

CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	16/10/2021	<ul style="list-style-type: none"> • Debt Funding – Indian Nonfund Based • Foreign Funding - Instruments & Institutions • Other Borrowings Tools Non-Convertible Instruments- Non-Convertible Redeemable Preference Shares (NCRPs) etc.
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UNIT TEST-3

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	21/10/2021	<ul style="list-style-type: none"> • Risk Management • Internal Control
ADVANCED TAX LAWS	24/10/2021	<ul style="list-style-type: none"> • Custom Law
DRAFTING, PLEADINGS AND APPEARANCES	29/10/2021	<ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents(III) • Drafting and Conveyancing Relating to Various Deeds and Documents(IV) • Drafting of Agreements under the Companies Act:
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	01/11/2021	<ul style="list-style-type: none"> • Secretarial Audit • Internal Audit & Performance Audit • Concepts and Principles of Other Audits • Audit Engagement • Audit Principles and Techniques • Audit Process and Documentation
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	06/11/2021	<ul style="list-style-type: none"> • Insolvency • Petition for Corporate Insolvency Resolution Process • Role, Functions and Duties of IP/IRP/RP • Resolution Strategies • Convening and Conduct of Meetings of Committee of Creditors • Preparation & Approval of Resolution Plan

RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	15/11/2021	<ul style="list-style-type: none"> • Defaults, Adjudication, Prosecutions and penalties under the Companies Act, Securities Laws, FEMA, COFEPOSA, Money Laundering, Competition Act, Labour Laws & Tax Laws • Fines, Penalties and Punishments under various laws
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	21/11/2021	<ul style="list-style-type: none"> • Securitization • Listing–Indian Stock Exchanges International Listing

UNIT TEST-4

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	29/11/2021	<ul style="list-style-type: none"> • Reporting • Ethics and Business • Sustainability and Corporate Social Responsibility • Anti-Corruption and Anti-Bribery Laws in India
ADVANCED TAX LAWS	03/12/2021	<ul style="list-style-type: none"> • Direct and International Tax
DRAFTING, PLEADINGS AND APPEARANCES	04/12/2021	<ul style="list-style-type: none"> • Pleadings • Art of writing opinions • Appearances' & art of advocacy
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	05/12/2021	<ul style="list-style-type: none"> • Forming an Opinion & Reporting • Secretarial Audit –Fraud detection & Reporting • Quality Review • Values Ethics and Professional Conduct • Due Diligence • Due Diligence Impact Assessment of Non Compliances and Reporting thereof.
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	06/12/2021	<ul style="list-style-type: none"> • Individual/ Firm In solvency • Fresh Start Process • Debt Recovery & SARFAESI • Cross Border In solvency • Liquidation on or after failing of RP • Voluntary Liquidation • Winding-up by Tribunal under the Companies Act, 2013

RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	07/12/2021	<ul style="list-style-type: none"> • Civil and Criminal Trial Procedure and Process • Relief and Remedies • Crisis management, Professional Liability, D&OPolicy & other Risk and liability mitigation approaches
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	08/12/2021	<ul style="list-style-type: none"> • Various Procedural requirementsfor issue of securities and Listing • Preparing a Company for an IPO and Governance requirements thereafter, Appraising the Boardand other functions in the organizations regarding the Post IPO/Listing Governance changes • Documentation &Compliances.

FINAL TEST

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	09/12/2021	• FULLSYLLABUS
ADVANCED TAX LAWS	10/12/2021	• FULLSYLLABUS
DRAFTING, PLEADINGS AND APPEARANCES	12/12/2021	• FULLSYLLABUS
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	15/12/2021	• FULLSYLLABUS
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	17/12/2021	• FULLSYLLABUS
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	19/12/2021	• FULLSYLLABUS
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	20/12/2021	• FULLSYLLABUS