

CS PROFESSIONAL (NEW SYLLABUS)

UNIT TEST-1

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	05/02/2021	<ul style="list-style-type: none">• Conceptual Framework of Corporate Governance• Legislative Framework of Corporate Governance in India• Board Effectiveness- Issues and Challenge• Board Processes through Secretarial Standards• Corporate Policies and Disclosures
ADVANCED TAX LAWS	08/02/2021	<ul style="list-style-type: none">• An Overview on Goods and Services Tax 'GST'• Supply• Input Tax Credit & Computation of GST Liability
DRAFTING, PLEADINGS AND APPEARANCES	11/02/2021	<ul style="list-style-type: none">• Judicial & Administrative framework• General Principles of Drafting and relevant Substantive Rules• Secretarial Practice in Drafting Notice, Agenda and Minutes of Company's Meetings
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	14/02/2021	<ul style="list-style-type: none">• Compliance Framework• Compliances<ul style="list-style-type: none">• Entity wise• Activity wise• Sector wise• Industry Specific• State and Local applicable laws• Documentation & maintenance of records
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	17/02/2021	<ul style="list-style-type: none">• Types of Corporate Restructuring• Acquisition of

		<p>Company/Business</p> <ul style="list-style-type: none"> • Planning & Strategy • Process of M&A transactions • Documentation–Merger & Amalgamation
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	20/02/2021	<ul style="list-style-type: none"> • Shareholders' Democracy & Rights • Corporate Disputes
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	23/02/2021	<ul style="list-style-type: none"> • Indian Equity- Public Funding • Indian Equity- Private funding • Indian equity- Non Fund based • Debt Funding – Indian Fund Based

UNIT TEST-2

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	26/02/2021	<ul style="list-style-type: none"> • Accounting and Audit related issues, RPTs and Vigil Mechanism • Board Committees • Corporate Governance and Shareholders Rights • Corporate Governance and Other Stakeholders • Governance and Compliance Risk • Corporate Governance Forums
ADVANCED TAX LAWS	01/03/2021	<ul style="list-style-type: none"> • Procedural Compliance under GST • Demand and Recovery, Advance Ruling, Appeals and Revision • Inspection, search, seizure, offences & Penalties Compliance rating, anti-profiteering, GST practitioners, authorized representative, professional opportunities • Integrated Goods and Service Tax (IGST) • Union Territory Goods and Service tax (UTGST) • GST Compensation to States

		<ul style="list-style-type: none"> • Industry/ Sector Specific Analysis
DRAFTING, PLEADINGS AND APPEARANCES	04/03/2021	<ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents (I) • Drafting and Conveyancing Relating to Various Deeds and Documents (II) Pleadings
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	07/03/2021	<ul style="list-style-type: none"> • Search and Status Report • KYC • Signing and Certification • Segment-wise Role of Company Secretaries • Audits
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	10/03/2021	<ul style="list-style-type: none"> • Valuation of Business and Assets for Corporate Restructuring • Accounting in Corporate Restructuring— Concept and Accounting Treatment • Taxation & Stamp Duty aspects of Corporate Restructuring • Competition Act • Regulatory approvals of scheme • Appearance before NCLT / NCLAT • Fast Track Mergers : Small companies, Holding and wholly owned companies • Cross Border Mergers.
RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	13/03/2021	<ul style="list-style-type: none"> • Fraud under Companies Act and IPC • Misrepresentation & Malpractices • Regulatory Action
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	16/03/2021	<ul style="list-style-type: none"> • Debt Funding – Indian Non fund Based • Foreign Funding - Instruments & Institutions • Other Borrowings Tools

		<ul style="list-style-type: none"> • Non-Convertible Instruments- Non-Convertible Redeemable Preference Shares (NCRPs) etc.
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UNIT TEST-3

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	19/03/2021	<ul style="list-style-type: none"> • Risk Management • Internal Control
ADVANCED TAX LAWS	22/03/2021	<ul style="list-style-type: none"> • Custom Law
DRAFTING, PLEADINGS AND APPEARANCES	25/03/2021	<ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents(III) • Drafting and Conveyancing Relating to Various Deeds and Documents (IV) • Drafting of Agreements under the Companies Act:
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	28/03/2021	<ul style="list-style-type: none"> • Secretarial Audit • Internal Audit & Performance Audit • Concepts and Principles of Other Audits • Audit Engagement • Audit Principles and Techniques • Audit Process and Documentation
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	31/03/2021	<ul style="list-style-type: none"> • Insolvency • Petition for Corporate Insolvency Resolution Process • Role, Functions and Duties of IP/ IRP/ RP • Resolution Strategies • Convening and Conduct of Meetings of Committee of Creditors • Preparation & Approval of Resolution Plan
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	03/04/2021	<ul style="list-style-type: none"> • Defaults, Adjudication, Prosecutions and penalties under the Companies Act, Securities Laws, FEMA, COFEPOSA, Money Laundering, Competition Act, Labour Laws & Tax Laws • Fines, Penalties and Punishments under various laws
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	06/04/2021	<ul style="list-style-type: none"> • Securitization

		<ul style="list-style-type: none"> • Listing–Indian Stock Exchanges • International Listing
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UNIT TEST-4

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	09/04/2021	<ul style="list-style-type: none"> • Reporting • Ethics and Business • Sustainability and Corporate Social Responsibility • Anti-Corruption and Anti-Bribery Laws in India
ADVANCED TAX LAWS	12/04/2021	<ul style="list-style-type: none"> • Direct and International Tax
DRAFTING, PLEADINGS AND APPEARANCES	15/04/2021	<ul style="list-style-type: none"> • Pleadings • Art of writing opinions • Appearances' & art of advocacy
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	18/04/2021	<ul style="list-style-type: none"> • Forming an Opinion & Reporting • Secretarial Audit – Fraud detection & Reporting • Quality Review • Values Ethics and Professional Conduct • Due Diligence • Due Diligence Impact Assessment of Non Compliances and Reporting thereof.
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	21/04/2021	<ul style="list-style-type: none"> • Individual/ Firm Insolvency • Fresh Start Process • Debt Recovery & SARFAESI • Cross Border Insolvency • Liquidation on or after failing of RP • Voluntary Liquidation • Winding-up by Tribunal under the Companies Act, 2013
RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	24/04/2021	<ul style="list-style-type: none"> • Civil and Criminal Trial Procedure and Process • Relief and Remedies • Crisis management, Professional Liability, D&O Policy & other Risk and liability mitigation approaches
CORPORATE FUNDING & LISTINGS IN STOCK	27/04/2021	<ul style="list-style-type: none"> • Various Procedural requirements for issue of securities and Listing

EXCHANGES		<ul style="list-style-type: none"> • Preparing a Company for an IPO and Governance requirements thereafter, Appraising the Board and other functions in the organisations regarding the Post IPO/Listing Governance changes • Documentation & Compliances.
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FINAL TEST

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	01/05/2021	• FULL SYLLABUS
ADVANCED TAX LAWS	05/05/2021	• FULL SYLLABUS
DRAFTING, PLEADINGS AND APPEARANCES	09/05/2021	• FULL SYLLABUS
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	13/05/2021	• FULL SYLLABUS
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	17/05/2021	• FULL SYLLABUS
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	21/05/2021	• FULL SYLLABUS
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	25/05/2021	• FULL SYLLABUS