

# CS PROFESSIONAL (NEW SYLLABUS)

## UNIT TEST-1

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	10/11/2020	<ul style="list-style-type: none"><li>• Conceptual Framework of Corporate Governance</li><li>• Legislative Framework of Corporate Governance in India</li><li>• Board Effectiveness- Issues and Challenge</li><li>• Board Processes through Secretarial Standards</li><li>• Corporate Policies and Disclosures</li></ul>
ADVANCED TAX LAWS	11/11/2020	<ul style="list-style-type: none"><li>• An Overview on Goods and Services Tax 'GST'</li><li>• Supply</li><li>• Input Tax Credit &amp; Computation of GST Liability</li></ul>
DRAFTING, PLEADINGS AND APPEARANCES	12/11/2020	<ul style="list-style-type: none"><li>• Judicial &amp; Administrative framework</li><li>• General Principles of Drafting and relevant Substantive Rules</li><li>• Secretarial Practice in Drafting Notice, Agenda and Minutes of Company's Meetings</li></ul>
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	13/11/2020	<ul style="list-style-type: none"><li>• Compliance Framework</li><li>• Compliances<ul style="list-style-type: none"><li>• Entity wise</li><li>• Activity wise</li><li>• Sector wise</li><li>• Industry Specific</li><li>• State and Local applicable laws</li><li>• Documentation &amp; maintenance of records</li></ul></li></ul>
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	14/11/2020	<ul style="list-style-type: none"><li>• Types of Corporate Restructuring</li><li>• Acquisition of Company/Business</li></ul>

		<ul style="list-style-type: none"> <li>•Planning &amp;Strategy</li> <li>•Process of M&amp;A transactions</li> <li>•Documentation–Merger &amp; Amalgamation</li> </ul>
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	15/11/2020	<ul style="list-style-type: none"> <li>•Shareholders’ Democracy &amp; Rights</li> <li>•Corporate Disputes</li> </ul>
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	16/11/2020	<ul style="list-style-type: none"> <li>•Indian Equity- Public Funding</li> <li>•Indian Equity- Private funding</li> <li>•Indian equity- Non Fund based</li> <li>•Debt Funding – Indian Fund Based</li> </ul>

**UNIT TEST-2**

Subject	Date	Syllabus
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	17/11/2020	<ul style="list-style-type: none"> <li>• Accounting and Audit related issues, RPTs and Vigil Mechanism</li> <li>• Board Committees</li> <li>• Corporate Governance and Shareholders Rights</li> <li>• Corporate Governance and Other Stakeholders</li> <li>• Governance and Compliance Risk</li> <li>• Corporate Governance Forums</li> </ul>
ADVANCED TAX LAWS	18/11/2020	<ul style="list-style-type: none"> <li>• Procedural Compliance under GST</li> <li>• Demand and Recovery, Advance Ruling, Appeals and Revision</li> <li>• Inspection, search, seizure, offences &amp; Penalties</li> <li>• Compliance rating, anti-profiteering, GST practitioners, authorized representative, professional opportunities</li> <li>• Integrated Goods and Service Tax (IGST)</li> <li>• Union Territory Goods and Service tax (UTGST)</li> <li>• GST Compensation to States</li> <li>• Industry/ Sector Specific Analysis</li> </ul>
DRAFTING, PLEADINGS AND	19/11/2020	<ul style="list-style-type: none"> <li>• Drafting and</li> </ul>

APPEARANCES		<p>Conveyancing Relating to Various Deeds and Documents (I)</p> <ul style="list-style-type: none"> <li>• Drafting and Conveyancing Relating to Various Deeds and Documents (II) Pleadings</li> </ul>
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	20/11/2020	<ul style="list-style-type: none"> <li>• Search and Status Report</li> <li>• KYC</li> <li>• Signing and Certification</li> <li>• Segment-wise Role of Company Secretaries</li> <li>• Audits</li> </ul>
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	21/11/2020	<ul style="list-style-type: none"> <li>• Valuation of Business and Assets for Corporate Restructuring</li> <li>• Accounting in Corporate Restructuring— Concept and Accounting Treatment</li> <li>• Taxation &amp; Stamp Duty aspects of Corporate Restructuring</li> <li>• Competition Act</li> <li>• Regulatory approvals of scheme</li> <li>• Appearance before NCLT / NCLAT</li> <li>• Fast Track Mergers : Small companies, Holding and wholly owned companies</li> <li>• Cross Border Mergers.</li> </ul>
RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	22/11/2020	<ul style="list-style-type: none"> <li>• Fraud under Companies Act and IPC</li> <li>• Misrepresentation &amp; Malpractices</li> <li>• Regulatory Action</li> </ul>
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	23/11/2020	<ul style="list-style-type: none"> <li>• Debt Funding – Indian Non fund Based</li> <li>• Foreign Funding - Instruments &amp; Institutions</li> <li>• Other Borrowings Tools</li> <li>• Non-Convertible Instruments- Non-Convertible Redeemable Preference Shares (NCRPs) etc.</li> </ul>

**UNIT TEST-3**

<b>Subject</b>	<b>Date</b>	<b>Syllabus</b>
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	24/11/2020	<ul style="list-style-type: none"><li>• Risk Management</li><li>• Compliance Management</li><li>• Internal Control</li></ul>
ADVANCED TAX LAWS	25/11/2020	<ul style="list-style-type: none"><li>• Custom Law</li></ul>
DRAFTING, PLEADINGS AND APPEARANCES	26/11/2020	<ul style="list-style-type: none"><li>• Drafting and Conveyancing Relating to Various Deeds and Documents(III)</li><li>• Drafting and Conveyancing Relating to Various Deeds and Documents (IV)</li><li>• Drafting of Agreements under the Companies Act:</li></ul>
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	27/11/2020	<ul style="list-style-type: none"><li>• Secretarial Audit</li><li>• Internal Audit &amp; Performance Audit</li><li>• Concepts and Principles of Other Audits</li><li>• Audit Engagement</li><li>• Audit Principles and Techniques</li><li>• Audit Process and Documentation</li></ul>
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	28/11/2020	<ul style="list-style-type: none"><li>• Insolvency</li><li>• Petition for Corporate Insolvency Resolution Process</li><li>• Role, Functions and Duties of IP/ IRP/ RP</li><li>• Resolution Strategies</li><li>• Convening and Conduct of Meetings of Committee of Creditors</li><li>• Preparation &amp; Approval of Resolution Plan</li></ul>
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	29/11/2020	<ul style="list-style-type: none"><li>• Defaults, Adjudication, Prosecutions and penalties under the Companies Act, Securities Laws, FEMA, COFEPOSA, Money Laundering, Competition Act, Labour Laws &amp; Tax Laws</li><li>• Fines, Penalties and Punishments under various laws</li></ul>
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	30/11/2020	<ul style="list-style-type: none"><li>• Securitization</li><li>• Listing–Indian Stock Exchanges</li><li>• International Listing</li></ul>

**UNIT TEST-4**

<b>Subject</b>	<b>Date</b>	<b>Syllabus</b>
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	01/12/2020	<ul style="list-style-type: none"><li>• Reporting</li><li>• Ethics and Business</li><li>• Sustainability and Corporate Social Responsibility</li><li>• Measuring Business Sustainability</li><li>• Anti-Corruption and Anti-Bribery Laws in India</li></ul>
ADVANCED TAX LAWS	02/12/2020	<ul style="list-style-type: none"><li>• Direct and International Tax</li></ul>
DRAFTING, PLEADINGS AND APPEARANCES	03/12/2020	<ul style="list-style-type: none"><li>• Pleadings</li><li>• Art of writing opinions</li><li>• Appearances &amp; art of advocacy</li></ul>
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	04/12/2020	<ul style="list-style-type: none"><li>• Forming an Opinion &amp; Reporting</li><li>• Secretarial Audit – Fraud detection &amp; Reporting</li><li>• Quality Review</li><li>• Values, Ethics and Professional Conduct</li><li>• Due Diligence</li><li>• Due Diligence Impact Assessment of Non Compliances and Reporting thereof.</li></ul>
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	05/12/2020	<ul style="list-style-type: none"><li>• Individual/ Firm Insolvency</li><li>• Fresh Start Process</li><li>• Debt Recovery &amp; SARFAESI</li><li>• Cross Border Insolvency</li><li>• Liquidation on or after failing of RP</li><li>• Voluntary Liquidation</li><li>• Winding-up by Tribunal under the Companies Act, 2013</li></ul>
RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES	06/12/2020	<ul style="list-style-type: none"><li>• Civil and Criminal Trial Procedure and Process</li><li>• Relief and Remedies</li><li>• Crisis management, Professional Liability, D&amp;O Policy &amp; other Risk and liability mitigation approaches</li></ul>
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	07/12/2020	<ul style="list-style-type: none"><li>• Various Procedural requirements for issue of securities and Listing</li><li>• Preparing a Company for an IPO and Governance requirements thereafter, Appraising the Board and other functions in the organisations</li></ul>

		regarding the Post IPO/Listing Governance changes <ul style="list-style-type: none"> <li>• Documentation &amp; Compliances.</li> </ul>
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**FINAL TEST**

<b>Subject</b>	<b>Date</b>	<b>Syllabus</b>
GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS	08/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
ADVANCED TAX LAWS	09/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
DRAFTING, PLEADINGS AND APPEARANCES	10/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE	11/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP	12/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES	13/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>
CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES	14/12/2020	<ul style="list-style-type: none"> <li>• FULL SYLLABUS</li> </ul>

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