

CS PROFESSIONAL (NEW SYLLABUS)

UNIT TEST-1

| Subject | Date | Syllabus |
|---|------------|--|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 30/10/2020 | <ul style="list-style-type: none">• Conceptual Framework of Corporate Governance• Legislative Framework of Corporate Governance in India• Board Effectiveness- Issues and Challenge• Board Processes through Secretarial Standards• Corporate Policies and Disclosures |
| ADVANCED TAX LAWS | 31/10/2020 | <ul style="list-style-type: none">• An Overview on Goods and Services Tax 'GST'• Supply• Input Tax Credit & Computation of GST Liability |
| DRAFTING, PLEADINGS AND APPEARANCES | 01/11/2020 | <ul style="list-style-type: none">• Judicial & Administrative framework• General Principles of Drafting and relevant Substantive Rules• Secretarial Practice in Drafting Notice, Agenda and Minutes of Company's Meetings |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 02/11/2020 | <ul style="list-style-type: none">• Compliance Framework• Compliances<ul style="list-style-type: none">• Entity wise• Activity wise• Sector wise• Industry Specific• State and Local applicable laws• Documentation & maintenance of records |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 03/11/2020 | <ul style="list-style-type: none">• Types of Corporate Restructuring• Acquisition of Company/Business |

| | | |
|--|------------|--|
| | | <ul style="list-style-type: none"> •Planning &Strategy •Process of M&A transactions •Documentation–Merger & Amalgamation |
| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 04/11/2020 | <ul style="list-style-type: none"> •Shareholders’ Democracy & Rights •Corporate Disputes |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 05/11/2020 | <ul style="list-style-type: none"> •Indian Equity- Public Funding •Indian Equity- Private funding •Indian equity- Non Fund based •Debt Funding – Indian Fund Based |

UNIT TEST-2

| Subject | Date | Syllabus |
|---|------------|---|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 06/11/2020 | <ul style="list-style-type: none"> • Accounting and Audit related issues, RPTs and Vigil Mechanism • Board Committees • Corporate Governance and Shareholders Rights • Corporate Governance and Other Stakeholders • Governance and Compliance Risk • Corporate Governance Forums |
| ADVANCED TAX LAWS | 07/11/2020 | <ul style="list-style-type: none"> • Procedural Compliance under GST • Demand and Recovery, Advance Ruling, Appeals and Revision • Inspection, search, seizure, offences & Penalties • Compliance rating, anti-profiteering, GST practitioners, authorized representative, professional opportunities • Integrated Goods and Service Tax (IGST) • Union Territory Goods and Service tax (UTGST) • GST Compensation to States • Industry/ Sector Specific Analysis |
| DRAFTING, PLEADINGS AND | 08/11/2020 | <ul style="list-style-type: none"> • Drafting and |

| | | |
|---|------------|---|
| APPEARANCES | | <p>Conveyancing Relating to Various Deeds and Documents (I)</p> <ul style="list-style-type: none"> • Drafting and Conveyancing Relating to Various Deeds and Documents (II) Pleadings |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 09/11/2020 | <ul style="list-style-type: none"> • Search and Status Report • KYC • Signing and Certification • Segment-wise Role of Company Secretaries • Audits |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 10/11/2020 | <ul style="list-style-type: none"> • Valuation of Business and Assets for Corporate Restructuring • Accounting in Corporate Restructuring— Concept and Accounting Treatment • Taxation & Stamp Duty aspects of Corporate Restructuring • Competition Act • Regulatory approvals of scheme • Appearance before NCLT / NCLAT • Fast Track Mergers : Small companies, Holding and wholly owned companies • Cross Border Mergers. |
| RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES | 11/11/2020 | <ul style="list-style-type: none"> • Fraud under Companies Act and IPC • Misrepresentation & Malpractices • Regulatory Action |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 12/11/2020 | <ul style="list-style-type: none"> • Debt Funding – Indian Non fund Based • Foreign Funding - Instruments & Institutions • Other Borrowings Tools • Non-Convertible Instruments- Non-Convertible Redeemable Preference Shares (NCRPs) etc. |

UNIT TEST-3

| Subject | Date | Syllabus |
|---|-------------|---|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 13/11/2020 | <ul style="list-style-type: none">• Risk Management• Compliance Management• Internal Control |
| ADVANCED TAX LAWS | 14/11/2020 | <ul style="list-style-type: none">• Custom Law |
| DRAFTING, PLEADINGS AND APPEARANCES | 15/11/2020 | <ul style="list-style-type: none">• Drafting and Conveyancing Relating to Various Deeds and Documents(III)• Drafting and Conveyancing Relating to Various Deeds and Documents (IV)• Drafting of Agreements under the Companies Act: |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 16/11/2020 | <ul style="list-style-type: none">• Secretarial Audit• Internal Audit & Performance Audit• Concepts and Principles of Other Audits• Audit Engagement• Audit Principles and Techniques• Audit Process and Documentation |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 17/11/2020 | <ul style="list-style-type: none">• Insolvency• Petition for Corporate Insolvency Resolution Process• Role, Functions and Duties of IP/ IRP/ RP• Resolution Strategies• Convening and Conduct of Meetings of Committee of Creditors• Preparation & Approval of Resolution Plan |
| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 18/11/2020 | <ul style="list-style-type: none">• Defaults, Adjudication, Prosecutions and penalties under the Companies Act, Securities Laws, FEMA, COFEPOSA, Money Laundering, Competition Act, Labour Laws & Tax Laws• Fines, Penalties and Punishments under various laws |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 19/11/2020 | <ul style="list-style-type: none">• Securitization• Listing–Indian Stock Exchanges• International Listing |

UNIT TEST-4

| Subject | Date | Syllabus |
|---|-------------|---|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 20/11/2020 | <ul style="list-style-type: none">• Reporting• Ethics and Business• Sustainability and Corporate Social Responsibility• Measuring Business Sustainability• Anti-Corruption and Anti-Bribery Laws in India |
| ADVANCED TAX LAWS | 21/11/2020 | <ul style="list-style-type: none">• Direct and International Tax |
| DRAFTING, PLEADINGS AND APPEARANCES | 22/11/2020 | <ul style="list-style-type: none">• Pleadings• Art of writing opinions• Appearances & art of advocacy |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 23/11/2020 | <ul style="list-style-type: none">• Forming an Opinion & Reporting• Secretarial Audit – Fraud detection & Reporting• Quality Review• Values, Ethics and Professional Conduct• Due Diligence• Due Diligence Impact Assessment of Non Compliances and Reporting thereof. |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 24/11/2020 | <ul style="list-style-type: none">• Individual/ Firm Insolvency• Fresh Start Process• Debt Recovery & SARFAESI• Cross Border Insolvency• Liquidation on or after failing of RP• Voluntary Liquidation• Winding-up by Tribunal under the Companies Act, 2013 |
| RESOLUTION OF CORPORATE DISPUTES, NON-COMPLIANCES & REMEDIES | 25/11/2020 | <ul style="list-style-type: none">• Civil and Criminal Trial Procedure and Process• Relief and Remedies• Crisis management, Professional Liability, D&O Policy & other Risk and liability mitigation approaches |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 26/11/2020 | <ul style="list-style-type: none">• Various Procedural requirements for issue of securities and Listing• Preparing a Company for an IPO and Governance requirements thereafter, Appraising the Board and other functions in the organisations |

| | | |
|--|--|--|
| | | regarding the Post IPO/Listing Governance changes <ul style="list-style-type: none"> • Documentation & Compliances. |
|--|--|--|

FINAL TEST

| Subject | Date | Syllabus |
|---|-------------|---|
| GOVERNANCE, RISK MANAGEMENT, COMPLIANCES AND ETHICS | 30/11/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| ADVANCED TAX LAWS | 02/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| DRAFTING, PLEADINGS AND APPEARANCES | 04/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| SECRETARIAL AUDIT, COMPLIANCE MANAGEMENT AND DUE DILIGENCE | 06/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| CORPORATE RESTRUCTURING, INSOLVENCY, LIQUIDATION & WINDING-UP | 08/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| RESOLUTION OF CORPORATE DISPUTES, NON- COMPLIANCES & REMEDIES | 10/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |
| CORPORATE FUNDING & LISTINGS IN STOCK EXCHANGES | 12/12/2020 | <ul style="list-style-type: none"> • FULL SYLLABUS |

CSTESTSERIES.COM